



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/988,956	11/21/2001	Roland Pollak	03630-P0026A	9153
24126	7590	01/11/2005	EXAMINER	
ST. ONGE STEWARD JOHNSTON & REENS, LLC 986 BEDFORD STREET STAMFORD, CT 06905-5619			PRONE, JASON D	
		ART UNIT	PAPER NUMBER	3724
DATE MAILED: 01/11/2005				

## PRIORITY ACKNOWLEDGMENT

1. Receipt is acknowledged of priority papers submitted under 35 U.S.C. 119. The papers have been placed of record in the file.

2. Applicant's claim for priority, based on papers filed in parent Application Number \_\_\_\_\_ submitted under 35 U.S.C. 119, is acknowledged.

3. The priority papers, submitted \_\_\_\_\_, after payment of the issue fee are  
 acknowledged  
While the priority claim or certified copy filed will be placed in the file record, neither will be reviewed and the patent when published will not include the priority claim.  
See 37 CFR 1.55(a)(2).

not acknowledged since the processing fee in 37 CFR 1.17(i) has not been received.

4. For utility and plant applications filed on or after November 29, 2000, the priority claim is not entered because the claim was not presented within the time limit required by 37 CFR 1.55(a)(1). A petition to accept a delayed claim for priority under 35 U.S.C. 119(a) - (d) or (f), or 365(a) may be filed. See 37 CFR 1.55(c) and MPEP 201.14(a).

  
Manager, Publishing Division  
Office of Patent Publication  
(703) 305-8388

## St. Onge Steward Johnston &amp; Reens LLC



986 Bedford Street  
Stamford Connecticut 06905-5619  
(203) 324 6155 □  
(203) 327 1096 □  
ssjr.com

**FAX COVER SHEET****DATE**

January 10, 2005

**PAGES**

10

**DELIVER TO**Ms. Janet Higgins  
PTO -**SSJR File**

3630-P0026A

**FAX NUMBER**

703-746-4625

**FROM**Beatrice R. Emerson for  
Wesley W. Whitmyer, Jr.**NOTES**

Re: Application No. 09/988,956 – Priority Document

*Thank you!*  
*6*

Case No. 03630-P0026A WWW/dr

Applicant: Roland Pollak

SN: 09/988,956

GAU 3723

Filed 11/21/01

For: Tool Having A Holder For Mounting On A Drive Shaft

Receipt is hereby acknowledged of Filing of Missing Parts, Copy of Notice to File Missing Parts, Declaration and Power of Attorney, Certified Copy of Priority Document DE10058894 and \$130.00 Check.

Mailed January 15, 2002

Assistant Commissioner  
for Patents



COP Commissioner of Patents & Trademarks 46431 12/20/01 130.00  
 12/20/01 OPER-DR 130.00 03630-P0026A Declaration Filing Fee

**ST. ONGE STEWARD JOHNSTON  
& REENS LLC**  
986 BEDFORD STREET  
STAMFORD, CT 06905

Fleet Bank  
Stamford, Connecticut

**046431**

51-67  
119

CHECK NO.

46431

\*\*ONE HUNDRED THIRTY AND 00/100 DOLLARS\*\*

PAY TO THE ORDER OF Commissioner of Patents & Trademarks  
Washington, D.C. 20231

DATE AMOUNT  
12/20/01 \*\$130.00\*

*Steward Johnston*

#046431# 1011900571# 0001 51059#

Case No. 03630-P0026A WWW/dr

Applicant: Roland Pollak

SN: 09/988,956

GAU 3723

Filed 11/21/01

For: Tool Having A Holder For Mounting On A Drive Shaft

Receipt is hereby acknowledged of Filing of Missing Parts, Copy of Notice to File Missing Parts, Declaration and Power of Attorney, Certified Copy of Priority Document DE10058894 and \$130.00 Check.

Mailed January 15, 2002

Assistant Commissioner  
for Patents

PATENT  
03630-P0026A WWW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant	Roland Pollak
Serial No. 09/988,956	Art Unit 3723
Application Title:	Tool Having A Holder For Mounting On A Drive Shaft

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, DC 20231

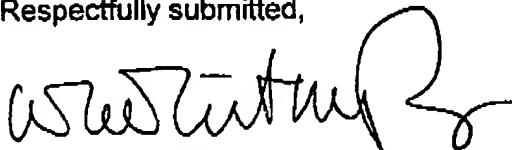
**Filing of Missing Parts**

Dear Sir:

Applicant hereby encloses for filing in response to the Notice to File Missing Parts of Application - Filing Date Granted dated December 4, 2001 (copy enclosed), the Declaration of the Inventor executed on November 27, 2001, and payment of the required fee in the amount of \$130.00.

The Commissioner is hereby authorized to charge any additional fees by this paper and during the entire pendency of this Application to Account No. 19-4516.

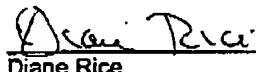
Respectfully submitted,



Wesley W. Whitmyer, Jr., Registration No. 33,558  
Attorney for Applicant  
ST.ONGE STEWARD JOHNSTON & REENS LLC  
986 Bedford Street; Stamford, CT 06905-5619  
203 324-6155

Certificate of Mailing: I hereby certify that this correspondence is today being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Box Missing Parts; Assistant Commissioner for Patents; Washington, DC 20231.

January 15, 2002

  
Diane Rice



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/988,956	11/21/2001	Roland Pollak	03630-P0026A

24126  
 ST. ONGE STEWARD JOHNSTON & REENS, LLC  
 986 BEDFORD STREET  
 STAMFORD, CT 06905-5619

CONFIRMATION NO. 9153  
**FORMALITIES LETTER**  
  
 "OC00000007150371"

Date Mailed: 12/04/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

---

*A copy of this notice MUST be returned with the reply.*

Customer Service Center  
 Initial Patent Examination Division (703) 308-1202

*PART 2 - COPY TO BE RETURNED WITH RESPONSE*

PTO/SB/103 (8-96)

Approved for use through 9/30/98. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

## Declaration and Power of Attorney for Patent Application Erklärung für Patentanmeldungen mit Vollmacht

### German Language Declaration

Als nachstehend benannter Erfinder erkläre ich hiermit an Eides Statt:

daß mein Wohnsitz, meine Postanschrift und meine Staatsangehörigkeit den im nachstehenden, nach meinem Namen aufgeführten Angaben entsprechen, daß ich nach bestem Wissen der ursprüngliche, erste und alleinige Erfinder (falls nachstehend nur ein Name angegeben ist) oder ein ursprünglicher, erster und Miterfinder (falls nachstehend mehrere Namen aufgeführt sind) des Gegenstandes bin, für den dieser Antrag gestellt wird und für den ein Patent für die Erfindung mit folgendem Titel beantragt wird:

#### **"TOOL HAVING A HOLDER FOR MOUNTING ON A DRIVE SHAFT"**

deren Beschreibung hier beigelegt ist, es sei denn (in diesem Falle Zutreffendes bitte ankreuzen), diese Erfindung

wurde angemeldet am \_\_\_\_\_ unter der US-Anmeldenummer oder unter der Internationalen Anmeldenummer im Rahmen des Vertrags über die Zusammenarbeit auf dem Gebiet des Patenwesens (PCT) \_\_\_\_\_ und am \_\_\_\_\_ abgeändert (falls zutreffend).

Ich bestätige hiermit, daß ich den Inhalt der oben angegebenen Patentanmeldung, einschließlich der Ansprüche, die eventuell durch einen oben erwähnten Zusatzantrag abgeändert wurde, durchgesehen und verstanden habe.

Ich erkenne meine Pflicht zur Offenbarung jeglicher Informationen an, die zur Prüfung der Patentfähigkeit in Einklang mit Title 37, Code of Federal Regulations, § 1.56 von Belang sind.

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated next to my name

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

#### **"TOOL HAVING A HOLDER FOR MOUNTING ON A DRIVE SHAFT"**

the specification of which is attached hereto unless the following box is checked:

09/988,956  
 was filed on 21 November 2001 as United States Application Number or PCT International Application Number \_\_\_\_\_ and was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56.



PTO/SB/103 (8-96)

Approved for use through 9/30/98. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number**German Language Declaration**

**VERTRETUNGSVOLLMACHT:** Als benannter Erfinder beauftrage ich hiermit den (die) nachstehend aufgeführten Patentanwalt (Patentanwälte) und/oder Vertreter mit der Verfolgung der vorliegenden Patentanmeldung sowie mit der Abwicklung aller damit verbundenen Angelegenheiten vor dem US-Patent- und Markenamt: *(Name(n)) und Registrationsnummer(n) auflisten)*

Wesley W. Whitmyer, Jr., Registration No. 33,558

**POWER OF ATTORNEY:** As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith: *(list name and registration number)*

Wesley W. Whitmyer, Jr., Registration No. 33,558

**Postanschrift:**

**Wesley W. Whitmyer, Jr.**  
**ST.ONGE STEWARD JOHNSTON & REENS LLC**  
**986 Bedford Street; Stamford, CT 06905-5619**

**Telefonische Auskünfte: (Name und Telefonnummer)**

Wesley W. Whitmyer, Jr., Telephone 203 324-6155

**Send Correspondence to:**

**Wesley W. Whitmyer, Jr.**  
**ST.ONGE STEWARD JOHNSTON & REENS LLC**  
**986 Bedford Street; Stamford, CT 06905-5619**

**Direct Telephone Calls to (name and phone number)**

Vor- und Zuname des einzigen oder ersten Erfinders <b>Roland Pollak</b>	Full name of sole or first inventor <b>Roland Pollak</b>
Unterschrift des Erfinders	Datum <i>Roland Pollak</i> <b>27.NOV.2001</b>
Wohnsitz <b>Rheinbergstraße 42, D-65594 Runkel, Germany</b>	Residence <b>Rheinbergstraße 42, D-65594 Runkel, Germany</b>
Staatsangehörigkeit <b>German</b>	Citizenship <b>German</b>
Postanschrift Same as above	Post Office Address Same as above
Vor- und Zuname des zweiten Miterfinders (falls zutreffend)	Full name of second joint inventor, if any
Unterschrift des zweiten Erfinders	Datum
Wohnsitz	Second Inventor's signature Date
Staatsangehörigkeit	Citizenship
Postanschrift	Post Office Address

(Im Falle Dritter und weiterer Miterfinder sind die entsprechenden Informationen und Unterschriften hinzuzufügen.) (Supply similar information and signature for third and subsequent joint inventors.)

PATENT  
03630-P0026A WWW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant	Roland Pollak
Serial No. 09/988,956	Filing Date: November 21, 2001
Title of Application	Tool Having A Holder For Mounting On A Drive Shaft
Group Art Unit 3723	Examiner

Assistant Commissioner for Patents  
Washington, DC 20231

Submission of Priority Document

Dear Sir:

Applicant hereby presents a certified copy of priority document  
DE10058894.8.

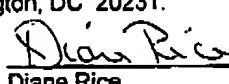
Respectfully submitted,



Wesley W. Whitmyer, Jr., Registration No. 33,558  
Attorney for Applicant  
ST.ONGE STEWARD JOHNSTON & REENS LLC  
986 Bedford Street  
Stamford, CT 06905-5619  
203 324-6155

**Mailing Certificate:** I hereby certify that this correspondence is today being deposited with the U.S. Postal Service as *First Class Mail* in an envelope addressed to: Commissioner for Patents and Trademarks; Washington, DC 20231.

January 15, 2002

  
Diane Rice

# BUNDESREPUBLIK DEUTSCHLAND



## Prioritätsbescheinigung über die Einreichung einer Patentanmeldung

Aktenzeichen: 100 58 894.8  
Anmeldetag: 23. November 2000  
Anmelder/Inhaber: C. & E. FEIN GmbH & Co KG,  
Stuttgart/DE  
Bezeichnung: Werkzeug mit einem Halter zur Befestigung  
an einer Antriebswelle  
IPC: B 26 B, B 25 G

Die angehefteten Stücke sind eine richtige und genaue Wiedergabe der ursprünglichen Unterlagen dieser Patentanmeldung.

München, den 15. November 2001  
Deutsches Patent- und Markenamt  
Der Präsident  
Im Auftrag

A handwritten signature in black ink, appearing to read "M. Schäfer".

Slech